1-25667m

For Internal Use Only Sec File No. 9Submit 1 Original and 9 Copies

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB A.

OMB Number:
Expires: July 31, 20.
Estimated average burden foots per response.... 3.60

OCT n 9 2018

Availability:

Washington, D.C. 20549

FORM 19b-4(e)

Washington, D.C. 20549

OCT

OG 2018

Information Required of a Self-Regulatory Organization Listing and Trading New

Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act, of 1934 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchan

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Choe BYX Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See Attached. 3. Class of New Derivative Securities Product: See Attached. 4. Name of Underlying Instrument: See Attached. If Underlying Instrument is an Index, State Whether it is Broad-Based: Trading and Exchange Commission 5. Trading and Markets Ticker Symbol(s) of New Derivative Securities Product: 6. See Attached. Market or Markets Upon Which Securities Comprising Underlying Instrument 7. Trades: See Attached. Settlement Methodology of New Derivative Securities Product: 8. Trades Locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9. Not Applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: SVP, Deputy General Counsel 18010841 Telephone Number: 913-815-7154 Manual Signature of Official Responsible for Form: BECUMILES Exchange Act of 1934 Date: October 8, 2018 Public SEC 2449 (6-01)

## ATTACHMENT TO FORM 19b-4(e)

## FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON CBOE BYX EXCHANGE, INC. COMMENCING ON OCTOBER 2, 2018

	(2) Type of			(7) Market(s) upon Which Securities Comprising	(5) Broad
(6) Ticker	<u>Issuer of</u>		(4) Name of Underlying	<u>Underlying Instrument</u>	<u>or</u>
<u>Symbol</u>	<u>NDSP</u>	(3) Class of NDSP	<u>Instrument</u>	<u>Trades</u>	<u>Narrow</u>
		Investment Company	John Hancock Dimensional	•	
JHEM	Trust	Units	Emerging Markets Index	World	Broad
			Solactive Preferred Stock ETF	·	
PFFL	Corp	Index Linked Notes	Index	World	Broad
		mack zinked Hotes	Посх	riona	
				·	
		Investment Company		•	
PHYL	Trust	Units	High Yield Bonds	World	Broad



SEC Mail Processing

OCT 09 2018

Washington, DC

October 8, 2018

Ms. Claudette Ransom Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) - Choe BYX Exchange, Inc.

Ms. Ransom,

On behalf of Cboe BYX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on Cboe BYX Exchange on October 2, 2018. Please contact me if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

SVP, Deputy General Counsel

913.815.7154